

# Finsler Manifolds with Nonpositive Flag Curvature and Constant S-curvature

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## Abstract

The flag curvature is a natural extension of the sectional curvature in Riemannian geometry, and the S-curvature is a non-Riemannian quantity which vanishes for Riemannian metrics. There are (incomplete) non-Riemannian Finsler metrics on an open subset in  $\mathbb{R}^n$  with negative flag curvature and constant S-curvature. In this paper, we are going to show a global rigidity theorem that every Finsler metric with negative flag curvature and constant S-curvature must be Riemannian if the manifold is compact. We also study the nonpositive flag curvature case.

## 1 Introduction

One of important problems in Finsler geometry is to understand the geometric meanings of various quantities and their impacts on the global geometric structures. Imaging a Finsler manifold as an Easter egg and a Riemannian manifold as a white egg, Finsler manifolds are not only curved, but also very “colorful”. The flag curvature  $\mathbf{K}$  tells us how curved is the Finsler manifold at a point. There are several non-Riemannian quantities which describe the “color” and its rate of change over the manifold, such as the mean Cartan torsion  $\mathbf{I}$ , the mean Landsberg curvature  $\mathbf{J}$  and the S-curvature  $\mathbf{S}$  (see [17] or Section 2 below). These quantities interact with the flag curvature in a delicate way. The mean Landsberg curvature and the S-curvature reveal different non-Riemannian properties. For examples, there is a family of Finsler metrics on  $S^3$  with  $\mathbf{K} = 1$  and  $\mathbf{S} = 0$  [5]. However, every local Finsler metric with  $\mathbf{K} = 1$  and  $\mathbf{J} = 0$  must be Riemannian (Theorem 9.1.1 in [17]).

An  $n$ -dimensional Finsler metric is said to have *constant S-curvature* if  $\mathbf{S} = (n+1)cF$  for some constant  $c$ . It is known that every Randers metric of constant flag curvature has constant S-curvature [3], [4]. This is one of our motivations to consider Finsler metrics of constant S-curvature. In this paper, we are going to prove the following global metric rigidity theorem.

**Theorem 1.1** *Let  $(M, F)$  be an  $n$ -dimensional compact boundaryless Finsler manifold with constant S-curvature, i.e.,  $\mathbf{S} = (n+1)cF$  for some constant  $c$ .*

(a) *If  $F$  has negative flag curvature,  $\mathbf{K} < 0$ , then it must be Riemannian;*

- (b) If  $F$  has nonpositive flag curvature,  $\mathbf{K} \leq 0$ , then the mean Landsberg curvature vanishes,  $\mathbf{J} = 0$ , and the flag curvature  $\mathbf{K}(P, y) = 0$  for the flags  $P = \text{span}\{y, \mathbf{I}_y\} \subset T_x M$  whenever  $\mathbf{I}_y \neq 0$ .

The compactness in Theorem 1.1 (a) can not be dropped. Consider the following family of Finsler metrics on the unit ball  $B^n \subset \mathbb{R}^n$ ,

$$F = \frac{\sqrt{|y|^2 - (|x|^2|y|^2 - \langle x, y \rangle)^2} + \langle x, y \rangle}{1 - |x|^2} + \frac{\langle a, y \rangle}{1 + \langle a, x \rangle}, \quad (1)$$

where  $y \in T_x B^n \cong \mathbb{R}^n$  and  $a \in \mathbb{R}^n$  is an arbitrary constant vector with  $|a| < 1$ . It is proved that  $F$  has constant flag curvature  $\mathbf{K} = -\frac{1}{4}$  and constant S-curvature  $\mathbf{S} = \frac{1}{2}(n+1)F$  (see [17][18]). Clearly,  $F$  is not Riemannian.

The compactness in Theorem 1.1 (b) can not be dropped. Let  $n \geq 2$  and

$$\mathcal{U} := \left\{ p = (s, t, \bar{p}) \in \mathbb{R}^2 \times \mathbb{R}^{n-2} \mid s^2 + t^2 < 1 \right\}.$$

Define

$$F := \frac{\sqrt{\left(-tu + sv\right)^2 + |y|^2(1 - s^2 - t^2)} - \left(-tu + sv\right)}{1 - s^2 - t^2},$$

where  $y = (u, v, \bar{y}) \in T_p \mathcal{U} \cong \mathbb{R}^n$  and  $p = (s, t, \bar{p}) \in \mathcal{U}$ .  $F$  is an incomplete Finsler metric on  $\Omega$  with  $\mathbf{K} = 0$  and  $\mathbf{S} = 0$ , but  $\mathbf{J} \neq 0$  [20].

The compactness condition in Theorem 1.1 can be replaced by a completeness condition together with certain growth condition on the mean Cartan torsion. See Theorems 4.1 and 4.2 below.

**Corollary 1.2** *Let  $(M, F)$  be a compact boundaryless Berwald manifold with nonpositive flag curvature. Then the following hold,*

- (a) *If  $F$  has negative flag curvature,  $\mathbf{K} < 0$ , then it must be Riemannian;*
- (b) *If  $F$  has nonpositive flag curvature,  $\mathbf{K} \leq 0$ , then  $\mathbf{K}(P, y) = 0$  for the flag  $P = \text{span}\{y, \mathbf{I}_y\}$  whenever  $\mathbf{I}_y \neq 0$ .*

In dimension two, we have the following

**Corollary 1.3** *Let  $(M, F)$  be a compact boundaryless Finsler surface. Suppose that  $\mathbf{K} \leq 0$  and  $\mathbf{S} = 3cF$  for some constant  $c$ , then  $F$  is either locally Minkowskian or Riemannian.*

The proof is simple. First, by Theorem 4.1 below, we know that  $\mathbf{J} = 0$ , then the theorem follows from Theorem 7.3.2 in [2].

In dimension  $n \geq 3$ , we have some non-trivial examples satisfying the conditions and conclusions in Theorem 1.1 (b). Let  $(N, h)$  be an arbitrary closed hyperbolic Riemannian manifold. For any  $\epsilon \geq 0$ , let

$$F_\epsilon := \sqrt{h^2(\bar{x}, \bar{y}) + w^2} + \epsilon \sqrt{h^4(\bar{x}, \bar{y}) + w^4},$$

where  $x = (\bar{x}, s) \in M$  and  $y = \bar{y} \oplus w \frac{\partial}{\partial s} \in T_x M$ . This family of Finsler metrics is constructed by Z.I. Szabó in his classification of Berwald metrics [21]. It is known that each  $F_\epsilon$  is a Berwald metric. Thus  $\mathbf{J} = 0$  and  $\mathbf{S} = 0$  [17]. Further it can be shown that  $F_\epsilon$  satisfies that  $\mathbf{K} \leq 0$  and  $\mathbf{K}(P, y) = 0$  for  $P = \text{span}\{y, \mathbf{I}_y\}$ . The proof will be given in Section 5 below. A natural problem arises: Is the Finsler metric in Theorem 1.1 (b) a Berwald metric? This problem remains open.

Finally, we should point out that there are already several global rigidity results on the metric structure of Finsler manifolds with  $\mathbf{K} \leq 0$ . For example, H. Akbar-Zadeh proves that every closed Finsler manifold with  $\mathbf{K} = -1$  must be Riemannian and every closed Finsler manifold with  $\mathbf{K} = 0$  must be locally Minkowskian [1]. Mo-Shen prove that every closed Finsler manifold of scalar curvature with  $\mathbf{K} < 0$  must be of Randers type in dimension  $\geq 3$  [15]. Here a Finsler metric  $F$  is said to be *of scalar curvature* if the flag curvature  $\mathbf{K} = \mathbf{K}(x, y)$  is independent of  $P$  for any given direction  $y \in T_x M$ . Riemannian metrics of scalar curvature must have isotropic sectional curvature  $\mathbf{K} = \mathbf{K}(x)$ , hence they have constant sectional curvature in dimension  $n \geq 3$  by the Schur Lemma. But there are lots of Finsler metrics of scalar curvature which have not been completely classified yet.

## 2 Preliminaries

In this section, we are going to give a brief description on the flag curvature and the above mentioned non-Riemannian quantities.

Let  $M$  be an  $n$ -dimensional manifold and let  $\pi : TM_o := TM \setminus \{0\} \rightarrow M$  denote the slit tangent bundle. The pull-back tangent bundle is defined by  $\pi^* TM := \{(x, y, v) \mid 0 \neq y, v \in T_x M\}$  and the pull-back cotangent bundle is defined by  $\pi^* T^* M := \{\pi^* \theta \mid \theta \in T^* M\}$ .

By definition, a Finsler metric  $F$  on a manifold  $M$  is a nonnegative function on  $TM$  which is positively  $y$ -homogeneous of degree one with positive definite fundamental tensor  $\mathbf{g} := g_{ij} dx^i \otimes dx^j$  on  $\pi^* TM$ , where  $g_{ij} := \frac{1}{2}[F^2]_{y^i y^j}(x, y)$ . A special class of Finsler metrics are Randers metrics in the form  $F = \alpha + \beta$  where  $\alpha = \sqrt{a_{ij}(x)y^i y^j}$  is a Riemannian metric and  $\beta = b_i(x)y^i$  is a 1-form with  $\|\beta\|_x := \sqrt{a^{ij}(x)b_i(x)b_j(x)} < 1$  for any  $x \in M$ .

For a Finsler metric  $F$ , the volume  $dV = \sigma_F(x) dx^1 \cdots dx^n$  is defined by

$$\sigma_F(x) := \frac{\text{Vol}(\mathbf{B}^n(1))}{\text{Vol}\left\{(y^i) \in \mathbf{R}^n \mid F\left(x, y^i \frac{\partial}{\partial x^i}\right) < 1\right\}}. \quad (2)$$

When  $F = \sqrt{g_{ij}(x)y^i y^j}$  is Riemannian, then  $\sigma_F(x) = \sqrt{\det(g_{ij}(x))}$ . In general, the following quantity is not equal to zero,

$$\tau(x, y) := \ln \left[ \frac{\sqrt{\det(g_{ij}(x, y))}}{\sigma_F(x)} \right].$$

$\tau = \tau(x, y)$  is a scalar function on  $TM_o$ , which is called the *distortion* [17]. The distortion is our primary non-Riemannian quantity. Let

$$I_i := \frac{\partial \tau}{\partial y^i}(x, y) = \frac{1}{2} g^{jk}(x, y) \frac{\partial g_{jk}}{\partial y^i}(x, y). \quad (3)$$

We have

$$I_i y^i = 0. \quad (4)$$

The tensor  $\mathbf{I} := I_i dx^i$  on  $TM_o$  is called the *mean Cartan tensor*. According to Deicke's theorem [11],  $F$  is Riemannian if and only if  $\mathbf{I} = 0$ . Define the norm of  $\mathbf{I}$  at a point  $x \in M$  by

$$\|\mathbf{I}\|_x := \sup_{0 \neq y \in T_x M} \sqrt{I_i(x, y) g^{ij}(x, y) I_j(x, y)}.$$

For a point  $p \in M$ , let

$$\mathcal{I}_p(r) := \sup_{\min(d(p, x), d(x, p)) < r} \|\mathbf{I}\|_x.$$

The mean Cartan tensor  $\mathbf{I}$  is said to grow sub-linearly if for any point  $p \in M$ ,

$$\mathcal{I}_p(r) = o(r), \quad (r \rightarrow +\infty).$$

$\mathbf{I}$  is said to grow *sub-exponentially* at rate of  $k = 1$  if for any point  $p \in M$ ,

$$\mathcal{I}_p(r) = o(e^r), \quad (r \rightarrow +\infty).$$

It is known that for a Randers metric  $F = \alpha + \beta$ ,  $\mathbf{I}$  is bounded, i.e.,

$$\|\mathbf{I}\|_x \leq \frac{n+1}{\sqrt{2}} \sqrt{1 - \sqrt{1 - \|\beta\|_x^2}} < \frac{n+1}{\sqrt{2}}, \quad x \in M.$$

The bound in dimension two is suggested by B. Lackey. See Proposition 7.1.2 in [17] for a proof.

The geodesics in a Finsler manifold are characterized by a system of second order ordinary differential equations

$$\ddot{\sigma}^i + 2G^i(\sigma, \dot{\sigma}) = 0,$$

where  $G^i = G^i(x, y)$  are positively  $y$ -homogeneous functions of degree two. When  $F$  is Riemannian,  $G^i = \frac{1}{2} \Gamma_{jk}^i(x) y^j y^k$  are quadratic in  $y \in T_x M$ . A Finsler metric with such a property called a *Berwald metric*. There are many non-Riemannian Berwald manifolds (see Section 5 below).

For a non-zero vector  $y \in T_x M$ , set

$$\mathbf{S}(x, y) := \frac{d}{dt} \left[ \tau(\sigma(t), \dot{\sigma}(t)) \right]_{t=0},$$

where  $\sigma = \sigma(t)$  is the geodesic with  $\sigma(0) = x$  and  $\dot{\sigma}(0) = y$ .  $\mathbf{S} = \mathbf{S}(x, y)$  is a scalar function on  $TM_o$  which is called the *S-curvature* [16][17]. Let  $dV =$

$\sigma_F(x)dx^1 \cdots dx^n$  be the volume form on  $M$ . The S-curvature can be expressed by

$$\mathbf{S} = \frac{\partial G^m}{\partial y^m}(x, y) - y^m \frac{\partial}{\partial x^m} \left[ \ln \sigma_F(x) \right]. \quad (5)$$

It is proved that  $\mathbf{S} = 0$  for Berwald metrics [16][17]. An  $n$ -dimensional Finsler metric  $F$  is said to *have constant S-curvature* if there is a constant  $c$  such that  $\mathbf{S} = (n+1)cF$ . It is known that all Randers metrics of constant flag curvature must have constant S-curvature [3] (see [4] for the classification of such metrics).

There is a distinguished linear connection  $\nabla$  on  $\pi^*TM$  which is called the *Chern connection* [10]. Let  $\{\mathbf{e}_i\}$  be a local frame for  $\pi^*TM$  and  $\{\omega^i\}$  the dual local frame for  $\pi^*T^*M$ .  $\nabla$  can be expressed by

$$\nabla V = \left\{ dV^i + V^j \omega_j^i \right\} \otimes \mathbf{e}_i,$$

where  $V = V^i \mathbf{e}_i \in C^\infty(\pi^*TM)$ . The Chern connection can be viewed as a generalization of the Levi-Civita connection in Riemannian geometry. Let

$$\omega^{n+i} := dy^i + y^j \omega_j^i,$$

where  $y^i$  are local functions on  $TM_o$  defined by the canonical section  $\mathbf{Y} = y^i \mathbf{e}_i$  of  $\pi^*TM$ . We obtain a local coframe  $\{\omega^i, \omega^{n+i}\}$  for  $T^*(TM_o)$ .

Let

$$\Omega^i := d\omega^{n+i} - \omega^{n+j} \wedge \omega_j^i.$$

$\Omega^i$  can be expressed as follows,

$$\Omega^i = \frac{1}{2} R^i_{kl} \omega^k \wedge \omega^l - L^i_{kl} \omega^k \wedge \omega^{n+l},$$

where  $R^i_{kl} + R^i_{lk} = 0$  and  $L^i_{kl} = L^i_{lk}$ . The anti-symmetric tensor  $\mathbf{R} = R^i_{kl} \mathbf{e}_i \otimes \omega^k \otimes \omega^l$  is called the *Riemann tensor* and the symmetric tensor  $\mathbf{L} = L^i_{kl} \mathbf{e}_i \otimes \omega^k \otimes \omega^l$  is called the Landsberg tensor.

Let

$$R^i_k := R^i_{kl} y^l, \quad R_{jk} := g_{ij} R^i_k.$$

We have

$$R^i_k y^k = 0, \quad R_{jk} = R_{kj}. \quad (6)$$

See [17] for details. The tensor  $\mathbf{R} := R^i_k \mathbf{e}_i \otimes \omega^k$  is still called the *Riemann tensor*. The notion of Riemann (curvature) tensor for general Finsler metrics is introduced by L. Berwald using the Berwald connection [6][7]. Let

$$J_k := L^m_{km}.$$

The tensor  $\mathbf{J} := J_i \omega^i$  is called the *mean Landsberg tensor*. For a Berwald metric,  $\mathbf{J} = 0$  [17].

For a scalar function on  $TM_o$ , say  $\tau$ , we define its covariant derivatives by

$$d\tau = \tau_{|k}\omega^k + \tau_{.k}\omega^{n+k}.$$

From (3), we have

$$\tau_{.i} = \frac{\partial \tau}{\partial y^i} = I_i.$$

We have

$$\mathbf{S} := \tau_{|m}y^m.$$

For a tensor, say,  $\mathbf{I} = I_i\omega^i$ , the covariant derivatives are defined in a canonical way by

$$dI_i - I_k\omega_i^k = I_{i|k}\omega^k + I_{i.k}\omega^{n+k}.$$

We have

$$J_i = I_{i|m}y^m \quad (7)$$

Hence

$$J_i y^i = 0. \quad (8)$$

See [17] for details.

Now we interpret the above geometric quantities in a different way.

Let  $F$  be a Finsler metric on an  $n$ -dimensional manifold  $M$ . For a non-zero tangent vector  $y = y^i \frac{\partial}{\partial x^i}|_x \in T_x M$ , define

$$\mathbf{g}_y(u, v) := g_{ij}(x, y)u^i v^j, \quad u = u^i \frac{\partial}{\partial x^i}|_x, v = v^j \frac{\partial}{\partial x^j}|_x \in T_x M,$$

where  $g_{ij}(x, y) = \frac{1}{2}[F^2]_{y^i y^j}(x, y)$ . Each  $\mathbf{g}_y$  is an inner product on the tangent space  $T_x M$ .

The Riemann tensor can be viewed as a family of endomorphisms on tangent spaces.

$$\mathbf{R}_y(u) := R^i_k(x, y)u^k \frac{\partial}{\partial x^i}|_x,$$

where  $u = u^i \frac{\partial}{\partial x^i}|_x \in T_x M$ . The coefficients  $R^i_k = R^i_k(x, y)$  are given by

$$R^i_k = 2 \frac{\partial G^i}{\partial x^k} - y^j \frac{\partial^2 G^i}{\partial x^j \partial y^k} + 2G^j \frac{\partial^2 G^i}{\partial y^j \partial y^k} - \frac{\partial G^i}{\partial y^j} \frac{\partial G^j}{\partial y^k}. \quad (9)$$

It follows from (6) that

$$\mathbf{R}_y(y) = 0, \quad \mathbf{g}_y(\mathbf{R}_y(u), v) = \mathbf{g}_y(u, \mathbf{R}_y(v)), \quad (10)$$

where  $u, v \in T_x M$ . The family  $\mathbf{R} := \{\mathbf{R}_y | y \in T_x M \setminus \{0\}\}$  is called the *Riemann curvature*.

Using the Chern connection  $\nabla$  on  $\pi^*TM$ , one can define the covariant derivative of a vector field  $X = X^i(t) \frac{\partial}{\partial x^i}|_{c(t)}$  along a curve  $c$  by

$$D_c X(t) := \left\{ \frac{dX^i}{dt}(t) + X^j(t) \Gamma_{jk}^i(c(t), \dot{c}(t)) \dot{c}^k(t) \right\} \frac{\partial}{\partial x^i}|_{c(t)}.$$

If  $H = H(s, t)$  is a family of geodesics, i.e., for each  $s$ ,  $\sigma_s(t) := H(s, t)$  is a geodesic, the variation field  $V_s(t) := \frac{\partial H}{\partial s}(s, t)$  satisfies the following Jacobi field along  $\sigma_s$ ,

$$D_{\dot{\sigma}_s} D_{\dot{\sigma}_s} V_s(t) + \mathbf{R}_{\dot{\sigma}_s(t)}(V(t)) = 0.$$

For a tangent plane  $P \subset T_x M$  and a vector  $0 \neq y \in P$ , let

$$\mathbf{K}(P, y) := \frac{\mathbf{g}_y(\mathbf{R}_y(u), u)}{\mathbf{g}_y(y, y)\mathbf{g}_y(u, u) - [\mathbf{g}_y(y, u)]^2},$$

where  $P = \text{span}\{y, u\}$ . By (10), one can see that  $\mathbf{K}(P, y)$  is well-defined, namely, independent of the choice of a particular  $u \in T_x M$ .

The mean Cartan tensor and the mean Landsberg tensor can be viewed as families of vectors on the manifold, i.e.,

$$\mathbf{I}_y = I^i(x, y) \frac{\partial}{\partial x^i} \Big|_x, \quad \mathbf{J}_y = J^i(x, y) \frac{\partial}{\partial x^i} \Big|_x,$$

where  $I^i := g^{il} I_l$  and  $J^i := g^{il} J_l$ . It follows from (4) and (8) that

$$\mathbf{g}_y(\mathbf{I}_y, y) = 0 = \mathbf{g}_y(\mathbf{J}_y, y).$$

Thus  $\mathbf{I}_y$  and  $\mathbf{J}_y$  are perpendicular to  $y$  with respect to  $\mathbf{g}_y$ . We call  $\mathbf{I} := \{\mathbf{I}_y \mid y \in TM \setminus \{0\}\}$  and  $\mathbf{J} := \{\mathbf{J}_y \mid y \in TM \setminus \{0\}\}$  the *mean Cartan torsion* and the *Landsberg curvature*, respectively.

If  $F$  is a Berwald metric, then  $\mathbf{J} = 0$  and  $\mathbf{S} = 0$ . The converse is true too in dimension two, but it is not clear in higher dimensions (Cf. [17]).

### 3 Finsler metrics with constant S-curvature

The following lemma is crucial for the proof of Theorem 1.1.

**Lemma 3.1** *Let  $(M, F)$  be an  $n$ -dimensional Finsler manifold. Suppose that there is a constant  $c$  and a closed 1-form  $\gamma$  such that*

$$\mathbf{S}(x, y) = (n + 1)cF(x, y) + \gamma_x(y), \quad y \in T_x M,$$

*then along any geodesic  $\sigma = \sigma(t)$ , the vector field  $\mathbf{I}(t) := I^i(\sigma(t), \dot{\sigma}(t)) \frac{\partial}{\partial x^i} \Big|_{\sigma(t)}$  satisfies the following equation:*

$$D_{\dot{\sigma}} D_{\dot{\sigma}} \mathbf{I}(t) + \mathbf{R}_{\dot{\sigma}(t)}(\mathbf{I}(t)) = 0. \quad (11)$$

*Proof:* It is known that the Landsberg tensor satisfies the following equation [13] [15] :

$$J_{k|m} y^m + I_m R_k^m = -\frac{1}{3} \left\{ 2R_{k \cdot m}^m + R_{m \cdot k}^m \right\} \quad (12)$$

and the S-curvature satisfies the following equation [8] [14]:

$$\mathbf{S}_{\cdot k|m} y^m - \mathbf{S}_{|k} = -\frac{1}{3} \left\{ 2R^m_{\cdot k \cdot m} + R^m_{m \cdot k} \right\}. \quad (13)$$

It follows from (12) and (13) that

$$J_{k|m} y^m + I_m R^m_k = \mathbf{S}_{\cdot k|m} y^m - \mathbf{S}_{|k}.$$

By (7), we can rewrite the above equation as follows

$$I^i_{|p|q} y^p y^q + R^i_m I^m = g^{ik} \left\{ \mathbf{S}_{\cdot k|m} y^m - \mathbf{S}_{|k} \right\}. \quad (14)$$

Note that  $F = \sqrt{g_{ij} y^i y^j}$  satisfies

$$F_{|m} = \frac{g_{ij|m} y^i y^j}{2F} = 0, \quad F_{\cdot k|m} = \frac{g_{ik|m} y^i}{F} = 0.$$

Since  $\gamma = \gamma_i dx^i$  is closed, it satisfies

$$\gamma_{\cdot k|m} y^m - \gamma_{|k} = \left\{ \frac{\partial \gamma_k}{\partial x^m} - \frac{\partial \gamma_m}{\partial x^k} \right\} y^m = 0.$$

We have

$$\mathbf{S}_{\cdot k|m} y^m - \mathbf{S}_{|k} = (n+1)c \left\{ F_{\cdot k|m} y^m - F_{|k} \right\} + \gamma_{\cdot k|m} y^m - \gamma_{|k} = 0.$$

Then (14) is reduced to

$$I^i_{|p|q} y^p y^q + R^i_m I^m = 0. \quad (15)$$

Since  $\sigma$  is a geodesic, we have

$$D_{\dot{\sigma}} D_{\dot{\sigma}} \mathbf{I}(t) = I^i_{|p|q}(\sigma(t), \dot{\sigma}(t)) \dot{\sigma}^p(t) \dot{\sigma}^q(t) \frac{\partial}{\partial x^i} \Big|_{\sigma(t)}.$$

Then (15) restricted to  $\sigma(t)$  gives rise to (11). Q.E.D.

## 4 Proof of Theorem 1.1

In this section, we are going to prove a slightly more general version of Theorem 1.1.

**Theorem 4.1** *Let  $(M, F)$  be an  $n$ -dimensional complete Finsler manifold with nonpositive flag curvature  $\mathbf{K} \leq 0$  and almost constant S-curvature  $\mathbf{S} = (n+1)cF + \gamma$  ( $c = \text{constant}$  and  $\gamma$  is a closed 1-form). Suppose that the mean Cartan torsion grows sub-linearly. Then  $\mathbf{J} = 0$  and  $\mathbf{K}(P, y) = 0$  for the flag  $P = \text{span}\{\mathbf{I}_y, y\}$  whenever  $\mathbf{I}_y \neq 0$ . Moreover  $F$  is Riemannian at points where  $\mathbf{K} < 0$ .*



*Proof:* Let  $y \in T_x M$  be an arbitrary non-zero vector and let  $\sigma = \sigma(t)$  be the geodesic with  $\sigma(0) = x$  and  $\dot{\sigma}(0) = y$ . Since the Finsler metric is complete, one may assume that  $\sigma$  is defined on  $(-\infty, \infty)$ .  $\mathbf{I}$  and  $\mathbf{J}$  restricted to  $\sigma$  are vector fields along  $\sigma$ ,

$$\mathbf{I}(t) := I^i(\sigma(t), \dot{\sigma}(t)) \frac{\partial}{\partial x^i} \Big|_{\sigma(t)}, \quad \mathbf{J}(t) := J^i(\sigma(t), \dot{\sigma}(t)) \frac{\partial}{\partial x^i} \Big|_{\sigma(t)}.$$

It follows from (7) that

$$D_{\dot{\sigma}} \mathbf{I}(t) = I^i|_m(\sigma(t), \dot{\sigma}(t)) \dot{\sigma}^m(t) \frac{\partial}{\partial x^i} \Big|_{\sigma(t)} = \mathbf{J}(t). \quad (16)$$

If  $\mathbf{I}(t) \equiv 0$ , then by (16),  $\mathbf{J}_y = D_{\dot{\sigma}} \mathbf{I}(0) = 0$ . From now on, we assume that  $\mathbf{I}(t) \not\equiv 0$ . Let

$$\varphi(t) := \sqrt{\mathbf{g}_{\dot{\sigma}(t)}(\mathbf{I}(t), \mathbf{I}(t))}. \quad (17)$$

Let  $I = (a, b) \neq \emptyset$  be a maximal interval on which  $\varphi(t) > 0$ . We have

$$\varphi \varphi' = \mathbf{g}_{\dot{\sigma}}(\mathbf{I}, D_{\dot{\sigma}} \mathbf{I}) \leq \sqrt{\mathbf{g}_{\dot{\sigma}}(\mathbf{I}, \mathbf{I})} \sqrt{\mathbf{g}_{\dot{\sigma}}(D_{\dot{\sigma}} \mathbf{I}, D_{\dot{\sigma}} \mathbf{I})} = \varphi \sqrt{\mathbf{g}_{\dot{\sigma}}(D_{\dot{\sigma}} \mathbf{I}, D_{\dot{\sigma}} \mathbf{I})}.$$

This is,

$$\varphi' \leq \sqrt{\mathbf{g}_{\dot{\sigma}}(D_{\dot{\sigma}} \mathbf{I}, D_{\dot{\sigma}} \mathbf{I})}. \quad (18)$$

By assumption  $\mathbf{K} \leq 0$  and (18), we have

$$\begin{aligned} \frac{1}{2}[\varphi^2]'' &= \mathbf{g}_{\dot{\sigma}}(D_{\dot{\sigma}} D_{\dot{\sigma}} \mathbf{I}, \mathbf{I}) + \mathbf{g}_{\dot{\sigma}}(D_{\dot{\sigma}} \mathbf{I}, D_{\dot{\sigma}} \mathbf{I}) \\ &= -\mathbf{g}_{\dot{\sigma}}(\mathbf{R}_{\dot{\sigma}}(\mathbf{I}), \mathbf{I}) + \mathbf{g}_{\dot{\sigma}}(D_{\dot{\sigma}} \mathbf{I}, D_{\dot{\sigma}} \mathbf{I}) \\ &\geq \mathbf{g}_{\dot{\sigma}}(D_{\dot{\sigma}} \mathbf{I}, D_{\dot{\sigma}} \mathbf{I}) \geq \varphi'^2. \end{aligned} \quad (19)$$

We obtain that  $\varphi''(t) \geq 0$ .

We claim that  $\varphi'(t) \equiv 0$ . Suppose that  $\varphi'(t_o) \neq 0$  for some  $t_o \in I$ . If  $\varphi'(t_o) > 0$ , then

$$\varphi(t) \geq \varphi'(t_o)(t - t_o) + \varphi(t_o), \quad t > t_o.$$

Thus  $b = +\infty$ . If  $\varphi'(t_o) < 0$ , then

$$\varphi(t) \geq \varphi'(t_o)(t - t_o) + \varphi(t_o) > \varphi(t_o) > 0, \quad t < t_o.$$

Thus  $a = -\infty$ . In either case,  $\varphi(t)$  grows at least linearly. Note that for  $p = \sigma(t_o)$ ,  $\mathcal{I}_p(|t - t_o|) \geq \varphi(t)$ . We see that  $\mathbf{I}$  grows at least linearly. This is impossible. Thus  $\varphi'(t) \equiv 0$  and  $\varphi(t) = \text{constant} > 0$ . In this case,  $I = (-\infty, \infty)$ .

It follows from (19) that

$$\mathbf{g}_{\dot{\sigma}}(\mathbf{R}_{\dot{\sigma}}(\mathbf{I}), \mathbf{I}) = 0, \quad D_{\dot{\sigma}} \mathbf{I} = 0.$$

By (16), we get  $\mathbf{J}_y = D_{\dot{\sigma}}\mathbf{I}(0) = 0$ . Since  $\mathbf{I}_y$  is orthogonal to  $y$  with respect to  $\mathbf{g}_y$ ,  $\mathbf{K}(P, y) = 0$  for  $P = \text{span}\{\mathbf{I}_y, y\}$  whenever  $\mathbf{I}_y \neq 0$ .

Assume that  $\mathbf{K} < 0$  at a point  $x \in M$ . It follows from  $\mathbf{g}_y(\mathbf{R}_y(\mathbf{I}_y), \mathbf{I}_y) = 0$  that  $\mathbf{I}_y = 0$  for all  $y \in T_x M \setminus \{0\}$ . By Deicke's theorem [11],  $F$  is Riemannian. Q.E.D.

Two natural problems arise:

- (a) Is there any *complete* non-Landsberg metric on  $\mathbb{R}^n$  with  $\mathbf{K} \leq 0$ ,  $\mathbf{S} = (n+1)cF$  and  $\mathcal{I}_p(r) \sim Cr$  (as  $r \rightarrow +\infty$ )?
- (b) What is the metric structure of a complete Finsler metric on  $\mathbb{R}^n$  ( $n \geq 3$ ) satisfying  $\mathbf{K} = 0$ ,  $\mathbf{J} = 0$  and  $\mathbf{S} = 0$ ?

If the flag curvature is strictly negative, we have the following

**Theorem 4.2** *Let  $(M, F)$  be an  $n$ -dimensional complete Finsler manifold with  $\mathbf{K} \leq -1$  and almost constant  $S$ -curvature. Suppose that the mean Cartan torsion  $\mathbf{I}$  grows sub-exponentially a rate of  $k = 1$ . Then  $F$  is Riemannian.*

*Proof:* The proof is similar. Assume that  $\mathbf{I}_y \neq 0$  for some non-zero vector  $y \in T_x M$ . Let  $y \in T_x M$  be an arbitrary vector and  $\sigma = \sigma(t)$  be the geodesic with  $\sigma(0) = x$  and  $\dot{\sigma}(0) = y$ . Let  $\varphi(t)$  be defined by (17). Let  $I = (a, b) \neq \emptyset$  be the maximal interval on which  $\varphi(t) > 0$  and  $0 \in I$ . By assumption  $\mathbf{K} \leq -1$  and (18), we obtain

$$\begin{aligned} \frac{1}{2}[\varphi^2]'' &= \mathbf{g}_{\dot{\sigma}}(D_{\dot{\sigma}}D_{\dot{\sigma}}\mathbf{I}, \mathbf{I}) + \mathbf{g}_{\dot{\sigma}}(D_{\dot{\sigma}}\mathbf{I}, D_{\dot{\sigma}}\mathbf{I}) \\ &= -\mathbf{g}_{\dot{\sigma}}(\mathbf{R}_{\dot{\sigma}}(\mathbf{I}), \mathbf{I}) + \mathbf{g}_{\dot{\sigma}}(D_{\dot{\sigma}}\mathbf{I}, D_{\dot{\sigma}}\mathbf{I}) \\ &\geq \varphi^2 + \varphi'^2. \end{aligned}$$

This gives rise to the following inequality

$$\varphi'' - \varphi \geq 0. \quad (20)$$

We claim that  $\varphi'(t) \equiv 0$ . Suppose that  $\varphi'(t_o) \neq 0$  for some  $t_o \in I$ . Let

$$\varphi_o(t) := \varphi(t_o) \cosh(t - t_o) + \varphi'(t_o) \sinh(t - t_o).$$

Let  $h(t) := \varphi'(t)/\varphi(t)$  and  $h_o(t) := \varphi'_o(t)/\varphi_o(t)$ .

$$\chi(t) := e^{\int_{t_o}^t (h(\tau) + h_o(\tau)) d\tau} [h(t) - h_o(t)].$$

It is easy to verify that  $\chi'(t) \geq 0$  and  $\chi(t_o) = 0$ . Thus  $\chi(t) \geq 0$  for  $t > t_o$  and  $\chi(t) \leq 0$  for  $t < t_o$ . This implies that  $h(t) \geq h_o(t)$  for  $t > t_o$  and  $h(t) \leq h_o(t)$  for  $t < t_o$ . Note that

$$h(t) - h_o(t) = \frac{\varphi'(t)}{\varphi(t)} - \frac{\varphi'_o(t)}{\varphi_o(t)} = \frac{d}{dt} \left[ \ln \frac{\varphi(t)}{\varphi_o(t)} \right].$$

Thus  $[\varphi/\varphi_o]'(t) \geq 0$  for  $t > t_o$  and  $[\varphi/\varphi_o]'(t) \leq 0$  for  $t < t_o$ . We conclude that

$$\varphi(t) \geq \varphi_o(t), \quad a < t < b.$$

If  $\varphi'(t_o) > 0$ , then

$$\varphi(t) \geq \varphi_o(t) > 0, \quad t > t_o.$$

Thus  $b = +\infty$  and

$$\liminf_{t \rightarrow +\infty} \frac{\varphi(t)}{e^{t-t_o}} \geq \frac{\varphi(t_o) + \varphi'(t_o)}{2} > 0.$$

If  $\varphi'(t_o) < 0$ , then

$$\varphi(t) \geq \varphi_o(t) > 0, \quad t < t_o.$$

Thus  $a = -\infty$  and

$$\liminf_{t \rightarrow -\infty} \frac{\varphi(t)}{e^{t-t_o}} \geq \frac{\varphi(t_o) - \varphi'(t_o)}{2} > 0.$$

Note that  $\mathcal{I}_p(|t - t_o|) \geq \varphi(t)$  for  $p = \sigma(t_o)$ . Thus  $\mathbf{I}$  grows at least exponentially at rate of  $k = 1$ . This contradicts the assumption. Therefore,  $\varphi'(t) \equiv 0$ .

Since  $\varphi'(t) \equiv 0$ , we conclude that  $\varphi(t) \equiv 0$  by (20). In particular,  $\mathbf{I}_y = \varphi(0) = 0$ . This contradicts the assumption at the beginning of the argument.

Therefore  $\mathbf{I} \equiv 0$  and  $F$  is Riemannian by Deicke's theorem [11]. Q.E.D.

A natural problem arises: Is there any non-Riemannian *complete* Finsler metric on  $\mathbf{R}^n$  satisfying  $\mathbf{K} \leq -1$ ,  $\mathbf{S} = (n+1)cF$ , but  $\mathcal{I}_p(r) \sim Ce^r$  (as  $r \rightarrow +\infty$ )? This problems remains open.

**Example 4.3** Let  $\phi = \phi(y)$  be a Minkowski norm on  $\mathbf{R}^n$  and  $\mathcal{U} := \{y \in \mathbf{R}^n \mid \phi(y) < 1\}$ . Let  $\Theta = \Theta(x, y)$  be a function on  $T\mathcal{U} \cong \mathcal{U} \times \mathbf{R}^n$  defined by

$$\Theta(x, y) = \phi(y - \Theta(x, y)x).$$

$\Theta$  is a Finsler metric on  $\mathcal{U}$  which is called the *Funk metric* [12]. The Funk metric satisfies the following important equation

$$\Theta_{x^k}(x, y) = \Theta(x, y)\Theta_{y^k}(x, y). \quad (21)$$

Let  $a \in \mathbf{R}^n$  be an arbitrary constant vector  $a \in \mathbf{R}^n$  with  $|a| < 1$ . Let

$$F := \Theta(x, y) + \frac{\langle a, y \rangle}{1 + \langle a, x \rangle}, \quad y \in T\mathcal{U} \cong \mathcal{U} \times \mathbf{R}^n.$$

Clearly,  $F$  is a Finsler metric near the origin. By (21), one sees that the spray coefficients of  $F$  are given by  $G^i = Py^i$ , where

$$P := \frac{1}{2} \left\{ \Theta(x, y) - \frac{\langle a, y \rangle}{1 + \langle a, x \rangle} \right\}.$$

Then using the above formula for  $G^i$  and (9), one can easily show that  $F$  has constant flag curvature  $\mathbf{K} = -\frac{1}{4}$  (see Example 5.3 in [19]). Now let us compute the S-curvature of  $F$ . A direct computation gives

$$\frac{\partial G^m}{\partial y^m} = (n+1)P.$$

Let  $dV = \sigma_F(x)dx^1 \cdots dx^n$  be the Finsler volume form on  $M$ . Using (5), we obtain

$$\begin{aligned} \mathbf{S} &= (n+1)P(x, y) - y^m \frac{\partial}{\partial x^m} \left( \ln \sigma_F(x) \right) \\ &= \frac{n+1}{2} F(x, y) - (n+1) \frac{\langle a, y \rangle}{1 + \langle a, x \rangle} - y^m \frac{\partial}{\partial x^m} \left( \ln \sigma_F(x) \right) \\ &= \frac{1}{2} (n+1) F(x, y) + d\varphi_x(y), \end{aligned}$$

where

$$\varphi := -\ln \left[ (1 + \langle a, x \rangle)^{n+1} \sigma_F(x)^{\frac{1}{n+1}} \right]. \quad (22)$$

Thus  $F$  has almost constant S-curvature. Note that  $F$  is not Riemannian in general.

Example 4.3 shows that the completeness in Theorem 4.1 can not be replaced by the positive completeness.

## 5 An Example

The local/global structures of Berwald metrics have been completely determined by Z.I. Szabo [21], but their curvature properties have not been discussed throughly. Here we are going to compute the Riemann curvature and the mean Cartan torsion for a special class of Berwald manifolds constructed from a pair of Riemannian manifolds. Then we show that these metrics satisfy the conditions and the conclusions in Theorem 1.1 (b).

Let  $(M_i, \alpha_i)$ ,  $i = 1, 2$ , be arbitrary Riemannian manifolds and  $M = M_1 \times M_2$ . Let  $f : [0, \infty) \times [0, \infty) \rightarrow [0, \infty)$  be an arbitrary  $C^\infty$  function satisfying

$$f(\lambda s, \lambda t) = \lambda f(s, t), \quad (\lambda > 0) \quad \text{and} \quad f(s, t) \neq 0 \text{ if } (s, t) \neq 0.$$

Define

$$F := \sqrt{f\left([\alpha_1(x_1, y_1)]^2, [\alpha_2(x_2, y_2)]^2\right)}, \quad (23)$$

where  $x = (x_1, x_2) \in M$  and  $y = y_1 \oplus y_2 \in T_{(x_1, x_2)}(M_1 \times M_2) \cong T_{x_1}M_1 \oplus T_{x_2}M_2$ . Clearly,  $F$  has the following properties:

- (a)  $F(x, y) \geq 0$  with equality holds if and only if  $y = 0$ ;

(b)  $F(x, \lambda y) = \lambda F(x, y)$ ,  $\lambda > 0$ ;

(c)  $F(x, y)$  is  $C^\infty$  on  $TM \setminus \{0\}$ .

Now we are going to find additional condition on  $f = f(s, t)$  under which the matrix  $g_{ij} := \frac{1}{2}[F^2]_{y^i y^j}$  is positive definite. Take standard local coordinate systems  $(x^a, y^a)$  in  $TM_1$  and  $(x^\alpha, y^\alpha)$  in  $TM_2$ . Then  $(x^i, y^j) := (x^a, x^\alpha, y^a, y^\alpha)$  is a standard local coordinate system in  $TM$ . Express

$$\alpha_1(x_1, y_1) = \sqrt{\bar{g}_{ab}(x_1)y^a y^b}, \quad \alpha_2(x_2, y_2) = \sqrt{\bar{g}_{\alpha\beta}(x_2)y^\alpha y^\beta},$$

where  $y_1 = y^a \frac{\partial}{\partial x^a}$  and  $y_2 = y^\alpha \frac{\partial}{\partial x^\alpha}$ . We obtain

$$(g_{ij}) = \begin{pmatrix} 2f_{ss}\bar{y}_a\bar{y}_b + f_s\bar{g}_{ab} & 2f_{st}\bar{y}_a\bar{y}_\beta \\ 2f_{st}\bar{y}_b\bar{y}_\alpha & 2f_{tt}\bar{y}_\alpha\bar{y}_\beta + f_t\bar{g}_{\alpha\beta} \end{pmatrix}, \quad (24)$$

where  $\bar{y}_a := \bar{g}_{ab}y^b$  and  $\bar{y}_\alpha := \bar{g}_{\alpha\beta}y^\beta$ . By an elementary argument, one can show that  $(g_{ij})$  is positive definite if and only if  $f$  satisfies the following conditions:

$$f_s > 0, \quad f_t > 0, \quad f_s + 2sf_{ss} > 0, \quad f_t + 2tf_{tt} > 0,$$

and

$$f_s f_t - 2f f_{st} > 0.$$

In this case,

$$\det(g_{ij}) = h([\alpha_1]^2, [\alpha_2]^2) \det(\bar{g}_{ab}) \det(\bar{g}_{\alpha\beta}), \quad (25)$$

where

$$h := (f_s)^{n_1-1} (f_t)^{n_2-1} \{f_s f_t - 2f f_{st}\},$$

where  $n_1 := \dim M_1$  and  $n_2 := \dim M_2$ .

By a direct computation, one knows that the spray coefficients of  $F$  are splitted as the direct sum of the spray coefficients of  $\alpha_1$  and  $\alpha_2$ , that is,

$$G^a(x, y) = \bar{G}^a(x_1, y_1), \quad G^\alpha(x, y) = \bar{G}^\alpha(x_1, y_1), \quad (26)$$

where  $\bar{G}^a$  and  $\bar{G}^\alpha$  are the spray coefficients of  $\alpha_1$  and  $\alpha_2$  respectively. From (26), one can see that the spray of  $F$  is independent of the choice of a particular function  $f$ . In particular,  $G^i$  are quadratic in  $y \in T_x M$ . Thus  $F$  is a Berwald metric. This fact is claimed in [21]. Since  $F$  is a Berwald metric,  $\mathbf{J} = 0$  and  $\mathbf{S} = 0$  [17].

The Riemann tensor of  $F$  is given by

$$(R^i_j) = (\bar{R}^i_j) = \begin{pmatrix} \bar{R}^a_b & 0 \\ 0 & \bar{R}^\alpha_\beta \end{pmatrix},$$

where  $\bar{R}^a_b$  and  $\bar{R}^\alpha_\beta$  are the coefficients of the Riemann tensor of  $\alpha_1$  and  $\alpha_2$  respectively. Let  $R_{ij} := g_{ik}R^k_j$ ,  $\bar{R}_{ab} := \bar{g}_{ac}\bar{R}^c_b$  and  $\bar{R}_{\alpha\beta} := \bar{g}_{\alpha\gamma}\bar{R}^\gamma_\beta$ . Using (24), one obtains

$$\begin{pmatrix} R_{ij} \end{pmatrix} = \begin{pmatrix} f_s \bar{R}_{ab} & 0 \\ 0 & f_t \bar{R}_{\alpha\beta} \end{pmatrix}.$$

For any vector  $v = v^i \frac{\partial}{\partial x^i}|_x \in T_x M$ ,

$$\mathbf{g}_y(\mathbf{R}_y(v), v) = f_s \bar{R}_{ab} v^a v^b + f_t \bar{R}_{\alpha\beta} v^\alpha v^\beta. \quad (27)$$

It follows from (27) that if  $\alpha_1$  and  $\alpha_2$  both have nonpositive sectional curvature, then  $F$  has nonpositive flag curvature.

Using (25), one can compute the mean Cartan torsion. First, observe that

$$I_i = \frac{\partial}{\partial y^i} \left[ \ln \sqrt{\det(g_{jk})} \right] = \frac{\partial}{\partial y^i} \left[ \ln \sqrt{h([\alpha_1]^2, [\alpha_2]^2)} \right].$$

One obtains

$$I_a = \frac{h_s}{h} \bar{y}_a \quad I_\alpha = \frac{h_t}{h} \bar{y}_\alpha,$$

where  $\bar{y}_a := \bar{g}_{ab} y^b$  and  $\bar{y}_\alpha := \bar{g}_{\alpha\beta} y^\beta$ . Since  $\bar{y}_a \bar{R}^a_b = 0$  and  $\bar{y}_\alpha \bar{R}^\alpha_\beta = 0$ , one obtains

$$\mathbf{g}_y(\mathbf{R}_y(\mathbf{I}_y), \mathbf{I}_y) = I_i R^i_j I^j = \frac{h_s}{h} \bar{y}_a \bar{R}^a_b I^b + \frac{h_t}{h} \bar{y}_\alpha \bar{R}^\alpha_\beta I^\beta = 0.$$

Therefore  $F$  satisfies the conditions and conclusions in Theorem 4.1.

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